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Express Mail No. EV330613994US
Attorney Docket No. UMC-98-048 CON
Client/Matter No. 81848.0018.003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No. 09/991,466

Application of: Coming Chen, Juan-Yuan Wu, Water Lur

Filed: November 21, 2001

Examiner: N. M. BARRECA

Attorney Docket No. UMC-98-048 CON

For: METHOD OF FORMING PARTIAL REVERSE
ACTIVE MASK

Art Unit: 1756

Confirmation No.: 3828

Customer No.: **25235**

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2. Form PTO/SB/08A, with non-patent references;
3. Certificate of Mailing; and
4. Return card

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INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. § 1.97

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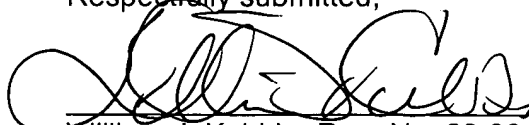
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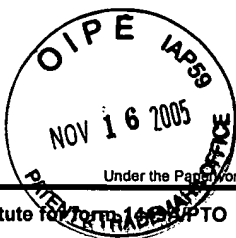
Pursuant to 37 C.F.R. § 1.97(i), please place the attached PTO/SB/08A and the enclosed copy of the listed non-patent publications in the above-referenced file. In submitting these references, no representation is made or implied that the references are or are not material.

This Information Disclosure Statement is filed with no request for consideration of this reference. Accordingly, no fee is believed due. However, any fee associated herewith may be charged to Deposit Account No. 50-1223.

Respectfully submitted,

16 November 2005
Date


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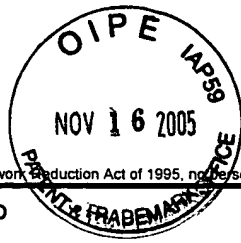
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Substitute for Form PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/991,466
				Filing Date	November 21, 2001
				First Named Inventor	Coming Chen et al.
				Art Unit	1756
				Examiner Name	N. M. BARRECA
Sheet	1	of	3	Attorney Docket No.	UMC-98-048 CON

U.S. PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Document No. No. - Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US-5,792,707	8/1998	Chung		
		US-5,837,612	11/1998	Ajuria et al.		
		US-5,854,133	12/1998	Hachiya et al.		
		US-5,858,842	1/1999	Park		
		US-5,911,110	6/1999	Yu		
		US-6,013,558	1/2000	Harvey et al.		
		US-5,923,993	7/1999	Sahota		
		US-5,459,096	10/1995	Venkatesan et al.		
		US-5,902,752	5/1999	Sun et al.		
		US-4,755,050	07/05/1998	Watkins		
		US-5,958,795	9/1999	Chen et al.		
		US-6,169,012	1/2/2001	Chen et al.		
		US-6,117,622	09/12/2000	Eisele et al.		
		US-6,020,616	02/01/2000	Bothra et al.		
		US-5,885,856	03/23/1999	Gilbert et al.		
		US-5,626,913	05/06/1997	Tomoeda et al.		
FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear	T ⁶
		JP60283995	06/25/1987	Hisamori		
		EP 0 712 156 A2	15.05.1996	AT&T Corp.		
		EP 0 712 156 A3	26.11.1997	AT&T Corp.		

EXAMINER SIGNATURE		DATE CONSIDERED	
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>			



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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)				Application Number	09/991,466
				Filing Date	November 21, 2001
				First Named Inventor	Coming Chen et al.
				Art Unit	1756
				Examiner Name	N. M. BARRECA
Sheet	2	of	3	Attorney Docket No.	UMC-98-048 CON

U.S. PATENT DOCUMENTS					
Examiner Initials	Cite No. ¹	Document No. No. - Kind Code ²	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US-6,259,115	07/10/2001	You et al.	
		US-5,948,573	09/07/1999	Takahashi	
		US-6,087,733	07/11/2000	Maxim et al.	
		US-5,965,941	10/12/1999	Weling et al.	
		US-5,885,886	03/23/1999	Lee	
		US-6,184,104	02/06/2001	Tan et al.	
		US-6,043,133	03/2000	Jang	
		US-5,893,744	04/1999	Wang	
		US-5,998,279	12/1999	Liaw	

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Doc	Pages, Columns, Lines Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵			

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<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.</p> <p>This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.</p>	



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				Art Unit	1756
				Examiner Name	N. M. BARRECA
Sheet	3	of	3	Attorney Docket No.	UMC-98-048 CON

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s) publisher, city and/or country where published	T ²
		LIU, GEORGE Y., ZHANG, RAY F., HSU, KELVIN, CAMILLETTI, LAWRENCE, Chip-Level CMP Modeling and Smart Dummy for HDP and Conformal CVD Films, CMP Technology, Inc., and Rockwell Semiconductor, pages 8, NO Date.	

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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) and application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.			